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General information abo	ut company
Scrip code	958903
NSE Symbol	
MSEI Symbol	
ISIN	
Name of the entity	KOTAK MAHINDRA INVESTMENTS LIMITED
Date of start of financial year	01-04-2023
Date of end of financial year	31-03-2024
Reporting Quarter	Half Yearly
Date of Report	30-09-2023
Risk management committee	Applicable
Market Capitalisation as per immediate previous Financial Year	Any other

Enter the quarter ended date only

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								Annexure I													
							Annexur	ure I to be submitted by listed		in .											
								I. Composition of Board	a of Directors												
Dictions of soles an composition																					
	ied entity has a Regular Chairperson																				
Whether D	Ocaleparation & related to MID or CITO	No.				Disqualification of C	f Directors under section 166 of the I	Component Suit, 2015													
Title Dis. / Name of the Election MAN DIN	Galagory 1 whilesobers	Calegory 2 of directors	Calegory I of Granton	Quie of Birth	Whether the director is disqualified?	Start Oxio of disqualification	a. End Date of disqualification	Details of disqualification	Current status	Whether special resolution passed? [Rafe Reg. 17(14) of Uniting Sugarities on]	Gate of parting special resolution	In Elel Claire of appointment	Extend Se appairtment	Date of sexualism	Tenure of director (in manife)	No of Directorship in listed entitles including this bried entity (Refer Regulation LTE of Listing Regulations)	ed sellenter to	Number of memberships in Judit/ Makehabiler Committee(s) including this Inited entity (Refer Regulation 20(3) of Listing	in Audit/ Bulleholder	Notes for not providing. No	Nation for not providing DN
244 Dries										-					-						
1 Mr. LIDAY KITAK AMPRANSIS 00001567	Northeadise-Non	Output Control		10.00.000					and a			D-10-2011			118.00						
2 Mr. DANDSMIREDHANTE ANDPANCES MICHAEL	Non-Executive Independent	Not beautisable		02-09-0900					dana.			B-03-2023	10-01-0020		107.00						
	Non-Executive Independent			13.15.000					4	-		30.00.00			11.00		7	1	1		
S Mr. PARESK SHEEKEN PARADRES AND PROPERTY COLLEGE	Northeadyr-Ner	Med Southwalds					-		Alta				+				1	**		-	
A Mr. PARTON KRIPAP AMPRIMED CHARGO	Independent Director 5 Non-Security - Independent	Not beatrable		20.00 EMM	No.		-		Alle .			DE-12-2036	+		83,30	- ·	+ +			-	$\overline{}$
S Mrs. PADMIN DIMERKIDER AMPRILIES GEOMETRI		Mind Southwalde	$\overline{}$	10,00,000	-		_	_	tates .	444		11.02.070	11.00.0000		97.00	+	+				
S No PHANISHINGS AMPRICAS ORGESTS		Not beatsable		1700-2073	No.				fate			DI-07-2022	\perp		14.10	+	4 4				
7 Mr. AMT BACK AMPRICON CHORDS		that transferable	renam v	10.11.1071	ten.					wa.		m.m.mm			14.00	4	ام ام	الحصال	4		
	Non-Executive-Independent Director 5	Not destructe		0000 0004					dana.			11-02-2023			7.00			4	d		

Annexure 1

II. Composition of Committees

II. Composition of Committees

Disclosure of notes on composition of committees explanatory

Add Notes

Add Notes

To this quarter kindly note the following points:

1. Date of Appointment and Date of Cessation (if applicable) must be mandatorily filled for every Committee.

2. Date of Appointment can ydu ypulo September 30, 2022.

3. Date of Cessation must be for the current quarter only, i.e. July 1,2022 to Sentember 30 2022.

Audit	Com	mittee	Details	

			Whether the A	Yes							
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks				
1	00296388	PADMINI KHARE KAICKER	Non-Executive - Independent Director	Chairperson	17-08-2015						
2	00017605	CHANDRASHEKHAR SATHE	Non-Executive - Independent Director	Member	30-03-2015						
3	09663183	PHANI SHANKAR	Non-Executive - Non Independent Director	Member	05-07-2022						
4	02412035	PARESH SHEERESH PARASNIS	Non-Executive - Independent Director	Member	20-10-2022						
5											
6											
7											
8											
9											

Note: Please enter DIN. After entering DIN, Name of Committee members and Category 1 of Directors shall be prefilled automatically

No	mination and remunerat	nination and remuneration committee										
			Whether the Nomination and remunera	Yes								
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks					
1	00296388	PADMINI KHARE KAICKER	Non-Executive - Independent Director	Chairperson	17-08-2015							
2	00017605	CHANDRASHEKHAR SATHE	Non-Executive - Independent Director	Member	30-03-2015							
3	07656300	PARITOSH KASHYAP	Non-Executive - Non Independent Director	Member	29-06-2022							
4												
5												
6												
7												
8												
9												

Stal	Committee Committee									
			Whether the Stakeholders Relation:	Yes						
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks			
1	00196106	PRAKASH APTE	Non-Executive - Independent Director	Chairperson	20-03-2023					
2	07656300	PARITOSH KASHYAP	Non-Executive - Non Independent Director	Member	20-03-2023					
3	09659093	AMIT BAGRI	Executive Director	Member	20-03-2023					
4										
5										
6										
7										
8										
9										
10										

_										
Risl	sk Management Committee									
			Whether the Risk Managerr	nent Committee has a Regular Chairperson	Yes					
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks			
1	00017605	CHANDRASHEKHAR SATHE	Non-Executive - Independent Director	Chairperson	29-06-2022					
2	07656300	PARITOSH KASHYAP	Non-Executive - Non Independent Director	Member	09-12-2016					
3	09659093	AMIT BAGRI	Executive Director	Member	01-07-2022					
4	09663183	PHANI SHANKAR	Non-Executive - Non Independent Director	Member	05-07-2022					
5										
6										
7										
8										
9										
10										

Cor	porate Social Responsibility Committee									
			Yes							
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks			
1	02412035	PARESH SHEERESH PARASNIS	Non-Executive - Independent Director	Chairperson	20-10-2022					
2	07656300	PARITOSH KASHYAP	Non-Executive - Non Independent Director	Member	20-07-2019					
3	09659093	AMIT BAGRI	Executive Director	Member	01-07-2022					
4	00196106	PRAKASH APTE	Non-Executive - Independent Director	Member	20-03-2023					
5										
6										
7										
8										
9										
10										

Oth	er Committee					
Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks
1						
2						
3						
4						
5						
6						
7						
8						
9						
10						



	Home validate										
	Annexure 1										
- 1	III. Meeting of Board of Directors										
	Disclosure of notes on meeting of board of direct	ctors explanatory	Add Not	res							
Sr	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors as on date of the meeting	Number of Directors present* (All directors including Independent Director)	No. of Independent Directors attending the meeting*				
	Add Delete										
1	22-04-2023			Yes	8	8	4				
2	26-05-2023	33		Yes	8	7	3				
3	06-07-2023	40		Yes	8	8	4				
4	18-07-2023	11		Yes	8	8	4				
5	05-08-2023	17		Yes	8	7	4				
6	24-08-2023	18		Yes	8	8	4				
7	05-09-2023	11		Yes	8	7					
8	15-09-2023	9		Yes	8	6	3				
9	27-09-2023	11		Yes	8	8	4				
4	Prev						Next				

^{*} to be filled in only for the current quarter meetings

					exure 1 ng of Committees									
				IV. Meeti	ng of Committees									
			Disclosure o	of notes on meeting	of committees explanatory	Add Notes								
	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reson for not providing date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors in the Committee as on date of the meeting	Number of Directors Present (All Directors including Independent Director)	No. of Independent Directors attending the meeting*	No. of members attending the meeting (other than Board of Directors)					
Add Delete														
nittee 0	03-04-2023				Yes	4	4	3						
nittee 2	2-04-2023	18			Yes	4	4	3						
nittee 2	15-05-2023	32			Yes	4	4	3						
	18-07-2023	53			Yes	4	4	3						
3	80-04-2023				Yes	3	3	2						
	14 00 2022	126			w.									
ocial Responsibility					Yes	3	3	2						
	nittee (inittee 2 inittee 2 inittee 3 and remuneration 3 and remuneration 3	Delete 03-04-2023 ilittee 22-04-2023 ilittee 25-05-2023 ilittee 18-07-2023 and remuneration 30-04-2023	Delete uittee 03-04-2023 18 uittee 22-04-2023 18 uittee 25-05-2023 32 uittee 18-07-2023 53 and remuneration 30-04-2023	Delete uittee 03-04-2023 18 uittee 22-04-2023 18 uittee 25-05-2023 32 uittee 18-07-2023 53 and remuneration 30-04-2023	Delete uittee 03-04-2023 18 uittee 25-05-2023 32 uittee 18-07-2023 53 and remuneration 30-04-2023 53 and remuneration 30-04-2023	Delete D	Chronological order) number of days	Delete	Delete					

* to be filled in only for the current quarter meetings

26-06-2023 14-09-2023

8 Risk Management Committee
9 Risk Management Committee
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	Annexure 1									
	V. Related Party Transactions									
Sr	Subject	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.							
1	Whether prior approval of audit committee obtained	Yes								
2	Whether shareholder approval obtained for material RPT	Yes								
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes								
	Disclosure of notes on related party transactions Add Notes									
	Disclosure of notes of material transaction wi	Add Notes								
Pro	ov		Nex							

Wi Details of non compliance of prior approval of audit committee obtained
Wi Details of non compliance of shareholder approval obtained for material RPT

Wi Details of non compliance of RPT entered into pursuant to omnibus approval have been reviewed by audit committee

Dit Disclosure notes on quarterly formats for compliance report on corporate governance

Disclosure of notes of material transaction with related party

Details of Cyber security incidence				
Whether as per Regulation 27(2)(ba) of SEBI (LODR) Regulations, 2015 there has been cyber security incidents or breaches or loss of data or documents during the quarter			No	
Date of the event		Brief details of the event		

	Annexure 1				
	VI. Affirmations				
Sr	Subject	Compliance status (Yes/No)			
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes			
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes			
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes			
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes			
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 1000 listed entities)	Yes			
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes			
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes			
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes			
9	Any comments/observations/advice of Board of Directors may be mentioned here:	Add Notes			

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	Annexure 1		
Sr	Subject	Compliance status	
1	Name of signatory	Rajeev Kumar	
2	Designation	Company Secretary and	

Annexure III				
III. Affirmations				
Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website	46(2)	No	The Company needs to comply with these requirements by 31 Marcl 2024 vide Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015. The Company shall comply with this requirement in due course
2	Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	Yes	
3	Presence of Chairperson of the nomination and remuneration committee at the annual general meeting	19(3)	Yes	
4	Presence of Chairperson of the Stakeholder Relationship committee at the annual general meeting	20(3)	No	The Company needs to comply with these requirements by 31 March 2024 vide Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015. The Company shall comply with this requirement in due course The Chairperson informed about the inavailability due to the prior other commitment.
5	Disclosure of the Secretarial Audit Report of the listed entity and the material subsidiaries in the Annual Report	24A(1)	Yes	
6	Submission of Annual Secretarial Compliance Report	24A(2)	No	The Company needs to comply with these requirements by 31 March 2024 vide Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015. The Company shall comply with this requirement in due course
7	Whether "Corporate Governance Report" disclosed in Annual Report	34(3) read with para C of Schedule V	No	The Company needs to comply with these requirements by 31 March 2024 vide Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015. The Company shall comply with this requirement in due course
	Any other information to be	provided	!	Add Notes

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	Annexure III		
1	Name of signatory	RAJEEV KUMAR	
2	Designation	Company Secretary and Compliance Officer	

	Additional Half ye	arly Disclosure	
		any disclosure	
Applicability of disclosure	Applicable		
	Add Notes		
Reason for Non Applicability			
I. Disclosure of Loans/ guarantees/comfort letters /		The Figure should be mentioned in Actual INR only	
(A)Any loan or any other form of debt advanced by the			
Entity	Aggregate amount advanced during six months	Balance outstanding at the end of six months	
Promoter or any other entity controlled by them	0.00	0.00	
Promoter Group or any other entity controlled by them	0.00	0.00	
Directors (including relatives) or any other entity			
controlled by them	0.00	0.00	
KMPs or any other entity controlled by them	0.00	0.00	
(B) Any guarantee / comfort letter (by whatever name of	alled) provided by the listed entity directly or indirect	y, in connection with any loan(s) or any other form of	
Entity	Type (guarantee, comfort letter etc.)	Aggregate amount of issuance during six months	Balance outstanding at the end of six
Dramator or any other autity controlled by them	0	0.00	months(taking into account any invocation) 0.00
Promoter or any other entity controlled by them Promoter Group or any other entity controlled by	U	0.00	0.00
them	0	0.00	0.00
Directors (including relatives) or any other entity	0	0.00	0.00
		0.00	2.22
controlled by them KMPs or any other entity controlled by them	0	0.00	0.00
(C) Any security provided by the listed entity directly or	-		0.00
(C) Any security provided by the listed entity directly or	indirectly, in connection with any loan(s) or any other	Aggregate value of security provided during six	
Entity	Type of security (cash, shares etc.)	months	Balance outstanding at the end of six months
Promoter or any other entity controlled by them	0	0.00	0.00
Promoter Group or any other entity controlled by			
them	0	0.00	0.00
Directors (including relatives) or any other entity			
controlled by them	0	0.00	0.00
KMPs or any other entity controlled by them	0	0.00	0.00
(D) Additional Information			Add Notes
II. Affirmations			
Affirma	tions	Compliance Status	Company Remarks
All loans (or other form of debt), guarantees, comfort letters (by whatever name called) or securities in		Yes	Add Notes
connection with any loan(s) (or other form of debt) given directly or indirectly by the listed entity to		ies	Add Notes
Name	JAY JOSHI		
	CFO		
Designation	CFO		
Place	MUMBAI		

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Signatory Details		
Name of signatory	RAJEEV KUMAR	
Designation of person	Company Secretary and Compliance Officer	
Place	MUMBAI	
Date	19-10-2023	

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