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General information about company	
Scrip code	958903
NSE Symbol	
MSEI Symbol	
ISIN	
Name of the entity	KOTAK MAHINDRA INVESTMENTS LIMITED
Date of start of financial year	01-04-2023
Date of end of financial year	31-03-2024
Reporting Quarter	Half Yearly
Date of Report	30-09-2023
Risk management committee	Applicable
Market Capitalisation as per immediate previous Financial Year	Any other

Enter the quarter
ended date only

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Annexure 1			
II. Composition of Committees			
Disclosure of notes on composition of committees explanatory			Add Notes

Note: Please enter DIN. After entering DIN, Name of Committee members and Category 1 of Directors shall be pre-filled automatically

For this quarter kindly note the following points:
1. Date of Appointment and Date of Cessation (if applicable) must be mandatorily filled for every Committee.
2. Date of Appointment can be any day upto September 30, 2022.
3. Date of Cessation must be for the current quarter only, i.e. July 1,2022 to September 30 2022

Audit Committee Details						
Whether the Audit Committee has a Regular Chairperson				Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Remarks
1	00296388	PADMINI KHARE KAICKER	Non-Executive - Independent Director	Chairperson	17-08-2015	
2	00017605	CHANDRASHAKHAR SATHE	Non-Executive - Independent Director	Member	30-03-2015	
3	09663183	PHANI SHANKAR	Non-Executive - Non Independent Director	Member	05-07-2022	
4	02412035	PARISH SHEERESH PARASNIS	Non-Executive - Independent Director	Member	20-10-2022	
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Note: Please enter DIN. After entering DIN, Name of Committee members and Category 1 of Directors shall be pre-filled automatically

Nomination and remuneration committee						
Whether the Nomination and remuneration committee has a Regular Chairperson				Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Remarks
1	00296388	PADMINI KHARE KAICKER	Non-Executive - Independent Director	Chairperson	17-08-2015	
2	00017605	CHANDRASHAKHAR SATHE	Non-Executive - Independent Director	Member	30-03-2015	
3	07656300	PARITOSH KASHYAP	Non-Executive - Non Independent Director	Member	29-06-2022	
4						
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Note: Please enter DIN. After entering DIN, Name of Committee members and Category 1 of Directors shall be pre-filled automatically

Stakeholders Relationship Committee						
Whether the Stakeholders Relationship Committee has a Regular Chairperson				Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Remarks
1	00196106	PRAKASH APTÉ	Non-Executive - Independent Director	Chairperson	20-03-2023	
2	07656300	PARITOSH KASHYAP	Non-Executive - Non Independent Director	Member	20-03-2023	
3	09659093	AMIT BAGRI	Executive Director	Member	20-03-2023	
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Risk Management Committee						
Whether the Risk Management Committee has a Regular Chairperson				Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Remarks
1	00017605	CHANDRASHAKHAR SATHE	Non-Executive - Independent Director	Chairperson	29-06-2022	
2	07656300	PARITOSH KASHYAP	Non-Executive - Non Independent Director	Member	09-12-2016	
3	09659093	AMIT BAGRI	Executive Director	Member	01-07-2022	
4	09663183	PHANI SHANKAR	Non-Executive - Non Independent Director	Member	05-07-2022	
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Note: Please enter DIN. After entering DIN, Name of Committee members and Category 1 of Directors shall be pre-filled automatically

Corporate Social Responsibility Committee						
Whether the Corporate Social Responsibility Committee has a Regular Chairperson				Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Remarks
1	02412035	PARISH SHEERESH PARASNIS	Non-Executive - Independent Director	Chairperson	20-10-2022	
2	07656300	PARITOSH KASHYAP	Non-Executive - Non Independent Director	Member	20-07-2019	
3	09659093	AMIT BAGRI	Executive Director	Member	01-07-2022	
4	00196106	PRAKASH APTÉ	Non-Executive - Independent Director	Member	20-03-2023	
5						
6						
7						
8						
9						
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Other Committee						
Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks
1						
2						
3						
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Annexure 1

III. Meeting of Board of Directors

Disclosure of notes on meeting of board of directors explanatory

[Add Notes](#)

Sr	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors as on date of the meeting	Number of Directors present* (All directors including Independent Director)	No. of Independent Directors attending the meeting*
Add Delete							
1	22-04-2023			Yes	8	8	4
2	26-05-2023	33		Yes	8	7	3
3	06-07-2023	40		Yes	8	8	4
4	18-07-2023	11		Yes	8	8	4
5	05-08-2023	17		Yes	8	7	4
6	24-08-2023	18		Yes	8	8	4
7	05-09-2023	11		Yes	8	7	4
8	15-09-2023	9		Yes	8	6	3
9	27-09-2023	11		Yes	8	8	4

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* to be filled in only for the current quarter meetings

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Annexure 1

IV. Meeting of Committees

Disclosure of notes on meeting of committees explanatory							Add Notes			
Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors in the Committee as on date of the meeting	Number of Directors Present (All Directors including Independent Director)	No. of Independent Directors attending the meeting*	No. of members attending the meeting (other than Board of Directors)
<div>AddDelete</div>										
1	Audit Committee	03-04-2023				Yes	4	4	3	0
2	Audit Committee	22-04-2023	18			Yes	4	4	3	0
3	Audit Committee	25-05-2023	32			Yes	4	4	3	0
4	Audit Committee	18-07-2023	53			Yes	4	4	3	0
5	Nomination and remuneration committee	30-04-2023				Yes	3	3	2	0
6	Nomination and remuneration committee	04-09-2023	126			Yes	3	3	2	0
7	Corporate Social Responsibility Committee	04-07-2023				Yes	4	4	2	0
8	Risk Management Committee	26-06-2023				Yes	4	4	1	0
9	Risk Management Committee	14-09-2023	79			Yes	4	4	1	0
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* to be filled in only for the current quarter meetings

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Annexure 1			
V. Related Party Transactions			
Sr	Subject	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	Yes	
2	Whether shareholder approval obtained for material RPT	Yes	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	
Disclosure of notes on related party transactions			<div>Add Notes</div>
Disclosure of notes of material transaction with related party			<div>Add Notes</div>

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W: Details of non compliance of prior approval of audit committee obtained

W: Details of non compliance of shareholder approval obtained for material RPT

W: Details of non compliance of RPT entered into pursuant to omnibus approval have been reviewed by audit committee

D: Disclosure notes on quarterly formats for compliance report on corporate governance

Disclosure of notes of material transaction with related party

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Details of Cyber security incidence			
Whether as per Regulation 27(2)(ba) of SEBI (LODR) Regulations, 2015 there has been cyber security incidents or breaches or loss of data or documents during the quarter			No
Date of the event		Brief details of the event	

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Annexure 1		
VI. Affirmations		
Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 1000 listed entities)	Yes
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes
9	Any comments/observations/advice of Board of Directors may be mentioned here:	Add Notes

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Annexure 1		
Sr	Subject	Compliance status
1	Name of signatory	Rajeev Kumar
2	Designation	Company Secretary and Compliance Officer

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Annexure III

Annexure III				
III. Affirmations				
Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website	46(2)	No	The Company needs to comply with these requirements by 31 March 2024 vide Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015. The Company shall comply with this requirement in due course.
2	Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	Yes	
3	Presence of Chairperson of the nomination and remuneration committee at the annual general meeting	19(3)	Yes	
4	Presence of Chairperson of the Stakeholder Relationship committee at the annual general meeting	20(3)	No	The Company needs to comply with these requirements by 31 March 2024 vide Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015. The Company shall comply with this requirement in due course. The Chairperson informed about the inavailability due to the prior other commitment.
5	Disclosure of the Secretarial Audit Report of the listed entity and the material subsidiaries in the Annual Report	24A(1)	Yes	
6	Submission of Annual Secretarial Compliance Report	24A(2)	No	The Company needs to comply with these requirements by 31 March 2024 vide Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015. The Company shall comply with this requirement in due course.
7	Whether "Corporate Governance Report" disclosed in Annual Report	34(3) read with para C of Schedule V	No	The Company needs to comply with these requirements by 31 March 2024 vide Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015. The Company shall comply with this requirement in due course.
Any other information to be provided				Add Notes

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Annexure III

1	Name of signatory	RAJEEV KUMAR
2	Designation	Company Secretary and Compliance Officer

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Additional Half yearly Disclosure			
Applicability of disclosure	Applicable		<div>Add Notes</div>
Reason for Non Applicability			
I. Disclosure of Loans/ guarantees/comfort letters /securities etc.refer note below The Figure should be mentioned in Actual INR only			
(A)Any loan or any other form of debt advanced by the listed entity directly or indirectly to			
Entity	Aggregate amount advanced during six months	Balance outstanding at the end of six months	
Promoter or any other entity controlled by them	0.00	0.00	
Promoter Group or any other entity controlled by them	0.00	0.00	
Directors (including relatives) or any other entity controlled by them	0.00	0.00	
KMPs or any other entity controlled by them	0.00	0.00	
(B) Any guarantee / comfort letter (by whatever name called) provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed By			
Entity	Type (guarantee, comfort letter etc.)	Aggregate amount of issuance during six months	Balance outstanding at the end of six months(taking into account any invocation)
Promoter or any other entity controlled by them	0	0.00	0.00
Promoter Group or any other entity controlled by them	0	0.00	0.00
Directors (including relatives) or any other entity controlled by them	0	0.00	0.00
KMPs or any other entity controlled by them	0	0.00	0.00
(C) Any security provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by			
Entity	Type of security (cash, shares etc.)	Aggregate value of security provided during six months	Balance outstanding at the end of six months
Promoter or any other entity controlled by them	0	0.00	0.00
Promoter Group or any other entity controlled by them	0	0.00	0.00
Directors (including relatives) or any other entity controlled by them	0	0.00	0.00
KMPs or any other entity controlled by them	0	0.00	0.00
(D) Additional Information			<div>Add Notes</div>
II. Affirmations			
Affirmations		Compliance Status	Company Remarks
All loans (or other form of debt), guarantees, comfort letters (by whatever name called) or securities in connection with any loan(s) (or other form of debt) given directly or indirectly by the listed entity to		Yes	<div>Add Notes</div>
Name	JAY JOSHI		
Designation	CFO		
Place	MUMBAI		
Date	19-10-2023		

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Signatory Details	
Name of signatory	RAJEEV KUMAR
Designation of person	Company Secretary and Compliance Officer
Place	MUMBAI
Date	19-10-2023

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